



first Named

Inventor:

Helen Ambrosen

Appln. No.:

10/501,199

Filed

July 9, 2004

For

SOLID HAIR CONDITIONING PRODUCT

Docket No.:

C89.12-0001

Group Art Unit: 3732

Examiner:

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

### TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

- 1. <u>X</u>
- Within three month of the filing date of a national application other that a 1. Continued Prosecution Application (CPA);
- 2. Within three months of the date of entry of the National Stage international application;
- 3. Before the mailing date of a first Office Action on the merits, or
- 4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).
- after the time period specified in paragraph 1 above, but before the mailing date of a final 2.

action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. \_ a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- 3. \_\_ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
  - A. a statement as specified in 37 C.F.R. § 1.97(e); and
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

## **STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

## **METHOD OF PAYMENT**

X No fee is required.Attached is a check in the amount of \$\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Judson K. Champlin, Reg. No. 34,797 900 Second Avenue South, Suite 1400

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

JKC:rev

FOF

| RM PTO-1449 | Atty. Docket No. C89.12-0001 |
|-------------|------------------------------|
|             |                              |

Appl. No.: 10/501,199

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

First Named Inventor:

| Helen Ambrosen   |            |
|------------------|------------|
| Filing Date      | Group Art: |
| January 31, 2005 | 3732       |

#### U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document<br>No. | Date     | Name                | Class | Sub<br>Class | Filing Date If Appropriate |
|---------------------|-----------------|----------|---------------------|-------|--------------|----------------------------|
| AA                  | 4,012,341       | Mar 1977 | Orshitzer et al.    | 252   | 548          |                            |
| AB                  | 4,919,838       | Apr 1990 | Tibbetts et al.     | 252   | 117          |                            |
| AC                  | 5,648,066       | Jul 1997 | Stepniewski         | 424   | 64           |                            |
| AD                  | 5,849,280       | Dec 1998 | Rechelbacher et al. | 424   | 70.11        |                            |
| AE                  | 5,874,392       | Feb 1999 | Halvorson et al.    | 510   | 129          |                            |
| AF                  | 6,312,676       | Nov 2001 | Rechelbacher et al. | 424   | 70.11        |                            |
| AG                  |                 |          |                     |       |              |                            |
| АН                  |                 |          |                     |       |              |                            |
| AI                  |                 |          |                     |       |              |                            |
| AJ                  |                 |          |                     |       |              |                            |
| AK                  |                 |          |                     |       |              |                            |

#### FOREIGN PATENT DOCUMENTS

|        | Document No. | Date     | Country | Class | Sub<br>Class | Translation<br>Yes No |
|--------|--------------|----------|---------|-------|--------------|-----------------------|
| <br>AL | EP 1 108 777 | Nov 2000 | EPO     |       |              | X                     |
| <br>AM | EP 0 330 435 | Feb 1989 | EPO     |       |              | Х                     |
| <br>AN |              |          |         |       |              |                       |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| 1       | AO  |  | <b>4.</b> |          |  |
|---------|-----|--|-----------|----------|--|
| 1       | AP  |  |           |          |  |
| Ī       | AQ  |  |           |          |  |
| EXAMINE | CR: |  | DATE CONS | SIDERED: |  |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.